

Docket No. SYB/0097.02

PTO/SB/088 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete If Known

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

Application Number

10/710,868

Filing Date

August 9, 2004

First Named Inventor

Sampath

Art Unit

2161-2168

Examiner Name

Merjahi, Saeed Jay Morrison

Attorney Docket Number

SYB/0097.02

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
JM		AMERICAN NATIONAL STANDARDS INSTITUTE, Information Technology - Database languages - SQL (Ch 4), American National Standard ANSI/ISO/IEC 9075, 1992	
JM		DATE, C.J., An Introduction to Database Systems, Ch 1: Overview of Database Management, Vol. 1, 5th Ed., 1990	

Examiner Signature	/Jay Morrison/	Date Considered	09/17/2006
--------------------	----------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. This information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-788-8189) and select option 2.

IDS - 06/21/2015

**ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

<b>Title of Invention</b>	Database System Providing Self-Tuned Parallel Database Recovery						
Application Number :	10/710868						
Confirmation Number:	4867						
First Named Applicant:	Srikanth Sampath						
Attorney Docket Number:	SYB/0097.02						
Art Unit:	2161 2168						
Examiner:	Safet Metjahić Jay Morrison						
Search string:	( 6886021 or 6820217 or 6799189 or 6769074 or 6751636 or 6732123 or 6721739 or 6684225 or 6651077 or 6651073 or 6618822 or 6609136 or 6594676 or 6567928 or 6526417 or 6507853 or 6490594 or 6449623 or 6411968 or 6377959 or 6247023 or 6208918 or 6202071 or 6185699 or 6161198 or 6151607 or 6078930 or 6065018 or 6065017 or 6018746 or 5974425 or 5956504 or 5933838 or 5857180 or 5778387 or 5721918 or 5717921 or 5682527 or 5668991 or 5596706 or 5581750 or 5561798 or 5561795 or 5553303 or 5530855 or 5499367 or 5446884 or 5414840 or 5280611 ).pn						
<b>US Patent Documents</b>							
<b>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</b>							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
JM	1	6886021	2005-04-26	Pal et al.		707	204
JM	2	6820217	2004-11-16	Mock et al.		714	15
JM	3	6799189	2004-09-28	Huxoll		707	204
JM	4	6769074	2004-07-27	Vaitzblit		714	16
JM	5	6751636	2004-06-15	Mende et al.		707	200
JM	6	6732123	2004-05-04	Moore et al.		707	202
JM	7	6721739	2004-04-13	Mende et al.		707	8
JM	8	6684225	2004-01-27	Huras et al.		707	202
JM	9	6651077	2003-11-18	East et al.		707	204
JM	10	6651073	2003-11-18	Lyle et al.		707	202
JM	11	6618822	2003-09-09	Loaiza et al.		714	20
JM	12	6609136	2003-08-19	Bamford et al.		707	202
JM	13	6594676	2003-07-15	Moore		707	202
JM	14	6567928	2003-05-20	Lyle et al.		714	15
JM	15	6526417	2003-02-25	Perry		707	202
JM	16	6507853	2003-01-14	Bamford et al.		707	203
JM	17	6490594	2002-12-03	Lomet		707	200
JM	18	6449623	2002-09-10	Bohannon et al.		707	202

JM	19	6411968	2002-06-25	Bamford et al.		707	202
JM	20	6377959	2002-04-23	Carlson		707	202
JM	21	6247023	2001-06-12	Hsiao et al.		707	202
JM	22	6208918	2001-03-27	Ando et al.		701	35
JM	23	6202071	2001-03-13	Keene		707	202
JM	24	6185699	2001-02-06	Haderle et al.		714	19
JM	25	6161198	2000-12-12	Hill et al.		714	15
JM	26	6151607	2000-11-21	Lomet		707	202
JM	27	6078930	2000-06-20	Lee et al.		707	202
JM	28	6065018	2000-05-16	Beier et al.		707	202
JM	29	6065017	2000-05-16	Barker		707	202
JM	30	6018746	2000-01-25	Hill et al.		707	202
JM	31	5974425	1999-10-26	Obermarck et al.		707	202
JM	32	5956504	1999-09-21	Jagadish et al.		707	101
JM	33	5933838	1999-08-03	Lomet		707	202
JM	34	5857180	1999-01-05	Hallmark et al.		707	2
JM	35	5778387	1998-07-07	Wilkerson et al.		707	202
JM	36	5721918	1998-02-24	Nilsson et al.		707	202
JM	37	5717921	1998-02-10	Lomet et al.		707	100
JM	38	5682527	1997-10-28	Cooper et al.		707	202
JM	39	5668991	1997-09-16	Dunn et al.		707	202
JM	40	5596706	1997-01-21	Shimazaki et al.		714	6
JM	41	5581750	1996-12-03	Haderle et al.		707	202
JM	42	5561798	1996-10-01	Haderle et al.		707	202
JM	43	5561795	1996-10-01	Sarkar		707	202
JM	44	5553303	1996-09-03	Hayashi et al.		707	2
JM	45	5530855	1996-06-25	Satoh et al.		707	201
JM	46	5499367	1996-03-12	Bamford et al.		707	8
JM	47	5446884	1995-08-29	Schwendemann et al.		707	202
JM	48	5414840	1995-05-09	Rengarajan et al.		707	201
JM	49	5280611	1994-01-18	Mohan et al.		707	8

## Remarks

Note: Remarks are not for responding to an office action.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in Sec. 1.56.

## Signature

Examiner Name	Date
/Jay Morrison/	09/17/2006